

*Application
for
United States Letters Patent*

To all whom it may concern:

Be it known that

Peter Brink, Ira S. Cohen, Richard B. Robinson and Michael R. Rosen
have invented certain new and useful improvements in
MESENCHYMAL STEM CELLS AS A VEHICLE FOR ION CHANNEL TRANSFER IN
SYNCYTIAL STRUCTURES

of which the following is a full, clear and exact description.

MESENCHYMAL STEM CELLS AS A VEHICLE FOR ION CHANNEL TRANSFER IN
SYNCTIAL STRUCTURES

5

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority of U.S. Provisional Application
Serial No. 60/440,265 filed on January 15, 2003, incorporated by
10 reference herein.

STATEMENT REGARDING SPONSORED RESEARCH OR DEVELOPMENT

The invention disclosed herein was made with Government support
15 under NIH Grant Nos. HL-28958 and HL-20558 from the National
Institutes of Health. Accordingly, the U.S. Government has
certain rights in this invention.

BACKGROUND OF THE INVENTION

20

The present invention relates to mesenchymal stem cells as a
vehicle for ion channel transfer in syncytial structures.

Throughout this application, various publications are referenced
25 to by numbers. Full citations may be found at the end of the
specification immediately preceding the claims. The disclosures
of these publications in the entireties are hereby incorporated
by reference into this application in order to more fully
describe the state of the art to those skilled therein as of the
30 date of the invention described and claimed herein.

The pacemaker current, I_f , is present in both automatic (1) and non-automatic (2-6) regions of the heart. Further, the threshold voltage of activation varies widely among cardiac regions, being least negative in the sinus node (e.g. in rabbit 5 sinus node it is -40 mV (7)) and most negative in the ventricle (-108 mV or more negative, depending on species (5,8,9)). Interestingly, the current activates at less negative voltages in the newborn ventricle (approximately -70 mV in rat (8,10)) and the diseased adult ventricle (approximately -70 mV threshold 10 in aged hypertensive rat (11), -55 mV in failing human ventricle (12)). The molecular and cellular bases for the regional variability of activation voltages in the normal adult heart and the regulation of ventricular activation voltage by development 15 and disease remain to be determined, but such understanding is critical to any future therapeutic application of the expressed current in myocardium.

Currently, only electronic pacemakers and cardioactive drugs are used to repair cardiac function. There is a need for a 20 biological pacemaker in the heart that utilizes components native to the heart itself, such as alpha and beta subunits of pacemaker channel genes. (57,58,59). The present invention is directed towards perfecting a delivery system for these genes 25 that neither requires the implantation of electronic devices, as in electronic pacemakers, nor the administration of potentially toxic chemicals, as in cardioactive drugs.

SUMMARY OF THE INVENTION

30 The present invention involves utilizing mesenchymal stem cells as vehicles for gene delivery to syncytial structures.

Mesenchymal stem cells, incorporated with genes, are administered to syncytial structures, where the stem cells couple with the syncytia, allowing gene expression to occur within the syncytial structure. For example, ion channel genes delivered via stem cells to the cardiac region can alter cardiac pacemaker activity. Additionally, mesenchymal stem cells can also be similarly used to deliver small molecules to functional syncytia.

10 The present invention provides a composition for delivery of a gene to a syncytial structure comprising stem cells incorporated with the gene.

15 The present invention also provides a composition for ion channel transfer which comprises stem cells incorporated with a compound in an amount sufficient to create ion channels.

20 This invention further provides for a method of expressing a functional gene product in a syncytial structure comprising administering a composition, comprising stem cells that have been incorporated with a gene, to the syncytial structure.

25 This invention provides for a method of expressing a functional ion channel in a syncytial structure comprising administering a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, to the syncytial structure.

30 This invention also provides for a method of treating a cardiac condition in a subject which comprises contacting a cell of the heart of the subject with a composition, comprising stem cells

that have been incorporated with a compound in an amount sufficient to create ion channels, in an amount sufficient to increase the current expression of the cell, thereby treating the cardiac condition in the subject.

5 This invention further provides for a method of inducing a current in the heart in a subject which comprises contacting a cell of the heart of a subject with a composition, comprising stem cells that have been incorporated with a compound in an 10 amount sufficient to create ion channels, in a sufficient amount to induce a current in the cell of the heart of the subject, thereby inducing a current in the cell of the heart of the subject.

15 This invention also provides for a method of increasing the heart rate in a subject which comprises contacting a cell of the heart of a subject with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in an amount sufficient to 20 decrease the time constant of activation of the cell of the heart, thereby increasing heart rate in the subject.

This invention also provides for a method of inducing a current in a cell which comprises contacting a cell with a composition, 25 comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in a sufficient amount to induce a current in the cell, thereby inducing a current in the cell.

30 This invention further provides for a method of causing a contraction of a cell which comprises contacting the cell with a

composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in an amount sufficient to induce a current required to cause a contraction of the cell, thereby causing a contraction of the cell.

This invention also provides for a method of shortening the time required to activate a cell which comprises contacting a cell with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in a sufficient amount to decrease the time constant of activation of the cell, thereby shortening the time required to activate the cell.

15 The present invention also provides for a method of changing the membrane potential of a cell which comprises contacting a cell with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in a sufficient amount to change the membrane potential of the cell, thereby changing the membrane potential of the cell.

This invention also provides a cardiac myocyte developed from mesenchymal stem cells transformed with a gene.

25 This invention further provides a composition for delivery of small molecules comprising stem cells incorporated with the small molecules or genes encoding the small molecules.

BRIEF DESCRIPTION OF THE FIGURES

Figure 1A-B: stem cells loaded with Lucifer Yellow dye via electroporation. **A:** light micrograph image of the stem cells.

5 **B:** fluorescence image of same stem cells. Note the load concentration was 2mM Lucifer Yellow in media.

Figure 2A-B: transfer of Lucifer Yellow dye from a stem cell to a HeLa cell transfected with Cx43. **A:** light micrograph image of 10 stem cell loaded with Lucifer Yellow via electrode, with subsequent transfer to HeLa Cx43 cell. **B:** fluorescence image of same stem cell. Note that transfer of the dye presumably occurs by diffusion through gap junctions.

15 **Figure 3A-C:** coupling and ionic and dye transfer between stem cells and between a stem cell and a canine cardiomyocyte (ventricle). **A:** light micrograph and fluorescence images of dye transfer between stem cells. **B:** light micrograph and fluorescence images of dye transfer between a stem cell and a 20 canine cardiomyocyte. **C:** graph representing ionic transfer between a stem cell and canine cardiomyocyte.

Figure 4A-B: stem cell coupling to HeLa cell transfected with Cx43. **A:** light micrograph and fluorescence micrograph of dye 25 transfer from stem cell to HeLa cell. **B:** graph of ionic transfer between stem cell and HeLa cell.

Figure 5: I-V relationship of a human mesenchymal stem cell isolated from an embryoid body. **A:** light micrograph image of 30 the stem cell isolated from an embryoid body. **B:** graph showing

inward rectification suggesting the beginning of a cardiac-like differentiation for the I-V relationship.

5 **Figure 6:** needle survival rate of transfected stem cells in vitro.

Figure 7: human mesenchymal stem cells transiently transfected with mH2-EGFP.

10 **Figure 8A-B:** HCN2 incorporated into stem cells can generate pacemaker current. **A:** ramp protocol of stem cells expressing HCN2. The ramp goes from +50 to -100 and back to 0mV. **B:** step protocol of stem cells expressing HCN2. The steps are from 0 to -100mV. Note that the experiment was done at room temperature, 20⁰C. Therefore, kinetics will be approximately 15x faster and amplitude will be 2x larger at physiologic temperature.

20 **Figure 9A-B:** HCN2 incorporated in a stem cell failed to generate pacemaker current. **A:** ramp protocol of stem cell failing to express HCN2. The ramp goes from +50 to -100 and back to 0mV. **B:** Mutant HCN2 incorporated into a stem cell which failed to express and generate a current. The steps are from 0 to -100mV. Note that the experiment was done at room temperature, 20⁰C. 25 Therefore, kinetics will be approximately 15x faster and amplitude will be 2x larger at physiologic temperature.

30 **Figure 10A-E:** Expression of pacemaker function in canine ventricle in situ as a result of implanting human mesenchymal stem cells having the HCN2 pacemaker gene. A dog was anesthetized and stem cells incorporating the HCN2 gene were

implanted via a 21-gauge needle into the anterior left ventricular wall of the dog. **A:** recordings of ECGs demonstrating sinus rhythm and a ventricular tachycardia of a specific configuration based on stimulation by the needle insertion. **B:** vagal stimulation of the dog resulted in cessation of sinus rhythm. **C:** continued vagal stimulation brought onset of a stable idioventricular rhythm after cessation of sinus rhythm. This rhythm had the same configuration on ECG lead II as the rhythm that arose on the initial day of implantation (Fig. 10A) as a result of stimulation at the implantation site. The most likely cause of the idioventricular rhythm would be the initiation of spontaneous cardiac impulses by the pacemaker current in the stem cells. **D:** upon termination of vagal stimulation, the dog returned to sinus rhythm. **E:** dog's heart was removed and subjected to histological study. slide displaying node-like structure of mesenchymal stem cells along the needle track of the implantation site.

DETAILED DESCRIPTION OF THE INVENTION

The present invention provides a composition for delivery of a
5 gene to a syncytial structure comprising stem cells incorporated
with the gene.

In a preferred embodiment of the above-described composition,
the stem cells are mesenchymal stem cells.

10 In a preferred embodiment of the above-described composition,
the gene encodes MiRPl.

15 In a preferred embodiment of the above-described composition,
the gene encodes a HCN channel.

In a preferred embodiment of the immediately preceding
composition, the HCN channel is HCN1.

20 In a preferred embodiment of the preceding composition, the HCN
channel is HCN2.

In a preferred embodiment of the preceding composition, the HCN
channel is HCN4.

25 In a preferred embodiment of the above-described composition,
the gene encodes a mutated HCN channel.

30 In a preferred embodiment of the immediately preceding
composition, the mutated HCN channel is E324A-HCN2.

In a preferred embodiment of the preceding composition, the mutated HCN channel is Y331A-HCN2.

5 In a preferred embodiment of the preceding composition, the mutated HCN channel is Y331A,E324A-HCN2.

In a preferred embodiment of the above-described composition, the gene encodes MiR1 and a HCN channel.

10 In a preferred embodiment of the immediately preceding composition, the HCN channel is HCN1.

In a preferred embodiment of the preceding composition, the HCN channel is HCN2.

15 In a preferred embodiment of the preceding composition, the HCN channel is HCN4.

20 In a preferred embodiment of the above-described composition, the gene encodes MiR1 and a mutated HCN channel.

In a preferred embodiment of the immediately preceding composition, the mutated HCN channel is E324A-HCN2.

25 In a preferred embodiment of the preceding composition the mutated HCN channel is Y331A-HCN2.

In a preferred embodiment of the preceding composition, the mutated HCN channel is Y331A,E324A-HCN2.

The present invention also provides a composition for ion channel transfer which comprises stem cells incorporated with a compound in an amount sufficient to create ion channels.

5 In a preferred embodiment of the above-described composition, the stem cells are mesenchymal stem cells.

In a preferred embodiment of the above-described composition, the compound comprises a nucleic acid which encodes MiRP1.

10 In a preferred embodiment of the above-described composition, the compound comprises a nucleic acid which encodes a HCN channel.

15 In a preferred embodiment of the immediately preceding composition, the HCN channel is HCN1.

In a preferred embodiment of the preceding composition, the HCN channel is HCN2.

20 In a preferred embodiment of the preceding composition, the HCN channel is HCN4.

25 In a preferred embodiment of the above-described composition, the compound comprises a nucleic acid which encodes a mutated HCN channel.

In a preferred embodiment of the immediately preceding composition, the mutated HCN channel is E324A-HCN2.

In a preferred embodiment of the preceding composition, the mutated HCN channel is Y331A-HCN2.

5 In a preferred embodiment of the preceding composition, the mutated HCN channel is Y331A,E324A-HCN2.

In a preferred embodiment of the above-described composition, the compound comprises a nucleic acid which encodes MiRPl and a HCN channel.

10 In a preferred embodiment of the immediately preceding composition, the HCN channel is HCN1.

15 In a preferred embodiment of the preceding composition, the HCN channel is HCN2.

In a preferred embodiment of the preceding composition, the HCN channel is HCN4.

20 In a preferred embodiment of the above-described composition, the compound comprises a nucleic acid which encodes MiRPl and a mutated HCN channel.

25 In a preferred embodiment of the immediately preceding composition, the mutated HCN channel is E324A-HCN2.

In a preferred embodiment of the preceding composition, the mutated HCN channel is Y331A-HCN2.

30 In a preferred embodiment of the preceding composition, the mutated HCN channel is Y331A,E324A-HCN2.

The present invention further provides for a method of expressing a functional gene product in a syncytial structure comprising administering a composition, comprising stem cells 5 that have been incorporated with a gene, to the syncytial structure.

In a preferred embodiment of the above-described method, the gene product is an ion channel.

10 In a preferred embodiment of the above-described method, the syncytial structure is a mammalian heart.

15 In a preferred embodiment of the above-described method, the syncytial structure is a mammalian bladder.

In a preferred embodiment of the above-described method, the syncytial structure is an artery.

20 In a preferred embodiment of the above-described method, the syncytial structure is an arteriole.

In a preferred embodiment of the above-described method, the syncytial structure is a mammalian liver.

25 In a preferred embodiment of the above-described method, the syncytial structure is mammalian gastrointestinal tract.

30 In a preferred embodiment of the above-described method, the syncytial structure is tumor originating from epithelial tissue.

In a preferred embodiment of the above-described method, the syncytial structure is tumor originating from smooth muscle tissue.

5 The present invention also provides for a method of expressing a functional ion channel in a syncytial structure comprising administering a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, to the syncytial structure.

10 In a preferred embodiment of the above-described method, the syncytial structure is a mammalian heart.

The present invention further provides for a method of treating
15 a cardiac condition in a subject which comprises contacting a cell of the heart of the subject with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in an amount sufficient to increase the current expression of the cell,
20 thereby treating the cardiac condition in the subject.

In a preferred embodiment of the above-described method, the current is the pacemaker current.

25 In a preferred embodiment of the above-described method, the cardiac condition is a cardiac rhythm disorder.

In a preferred embodiment of the above-described method, the cardiac rhythm disorder is selected from a group consisting of at least one of conduction block, complete atrioventricular
30 block, incomplete atrioventricular block and sinus node dysfunction.

In a preferred embodiment of the above-described method, the step of contacting is selected from the group consisting of systemic administration to the structure and injection.

5 In a preferred embodiment of the immediately preceding method, the administration of contacting is selected from the group comprising topical application to the cells of the structure, microinjection and catheterization.

10 The present invention further provides for a method of inducing a current in the heart in a subject which comprises contacting a cell of the heart of a subject with a composition, comprising stem cells that have been incorporated with a compound in an 15 amount sufficient to create ion channels, in a sufficient amount to induce a current in the cell of the heart of the subject, thereby inducing a current in the cell of the heart of the subject.

20 The present invention also provides for a method of increasing the heart rate in a subject which comprises contacting a cell of the heart of a subject with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in an amount sufficient to 25 decrease the time constant of activation of the cell of the heart, thereby increasing heart rate in the subject.

30 The present invention also provides for a method of inducing a current in a cell which comprises contacting a cell with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels,

in a sufficient amount to induce a current in the cell, thereby inducing a current in the cell.

The present invention also provides for a method of causing a contraction of a cell which comprises contacting the cell with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in an amount sufficient to induce a current required to cause a contraction of the cell, thereby causing a contraction of the cell.

The present invention further provides for a method of shortening the time required to activate a cell which comprises contacting a cell with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in a sufficient amount to decrease the time constant of activation and deactivation of the cell, thereby shortening the time required to activate and deactivate the cell.

The present invention also provides for a method of changing the membrane potential of a cell which comprises contacting a cell with a composition, comprising stem cells that have been incorporated with a compound in an amount sufficient to create ion channels, in a sufficient amount to change the membrane potential of the cell, thereby changing the membrane potential of the cell.

The present invention also provides a cardiac myocyte developed from mesenchymal stem cells transformed with a gene.

The present invention further provides a composition for delivery of small molecules comprising stem cells incorporated with the small molecules or genes encoding the small molecules.

5 As used herein, the term "syncytial structure" means a structure with gap junction-mediated communication between its cells.

As used herein, the term "cell of a heart" means a cell derived from a heart, either isolated or in culture.

10 As used herein, the term "cardiac myocytes" means myocytes derived from muscle or conductive tissue of a heart, either isolated or in culture, and capable of initiating a current.

15 As used herein, the term "membrane potential of the cell" means the transmembrane potential across the plasma membrane of the cell.

As used herein, the term "inducing a current" means causing a
20 cell to produce an electric current.

As used herein, the term "time required to activate a cell" means the time period for activation of the cell.

25 As used herein, the term "small molecules" means molecules of up to 1200 Daltons and/or with minor diameters of up to 1.2 nanometers.

Methods explaining the above detailed description are set forth
30 below.

The present invention provides pacemaker channel alpha and/or beta subunit genes and a promoter that can be used to create a regulatable pacemaker in cardiac and/or stem cells.

5

Phase 1: Expression, Regulation and Function of Pacemaker Channel Genes

Initial evaluation of expressed channel function may be done via 10 transfection in neonatal ventricular myocytes in culture. Promising constructs may be transfected into stem cells (Phase 2) which will then be tested for ability to couple to and pace 15 cardiac myocytes in culture (Phase 3). In addition, promising constructs may be prepared as an adenovirus expressing the gene of interest and its function characterized in vitro (in cultures 20 of neonatal and adult ventricular myocytes) and in vivo (in different cardiac regions). The in vivo studies may be done under Phase 5. The core function incorporates the preparation of adenoviral construct with a fluorescent marker and HCN, MiRP and mutant genes.

The specific goals or aims of Phase 1 are:

1. Expression and characterization of native HCN isoforms (pacemaker channel alpha subunits). HCN1, HCN2 and HCN4 can be over-expressed in neonatal myocytes and characterized in 25 terms of kinetics, voltage dependence and autonomic modulation by isoproterenol (beta-adrenergic) and carbachol (muscarinic) as well as directly by cAMP. These studies can be done by transfection or adenovirus infection.
2. Functional impact of native HCN isoform over-expression. The effect of over-expression on automaticity of neonatal 30 cultures can be determined for each isoform, as well as the

susceptibility of rate to autonomic modulation. These studies can be done by adenovirus infection since they require high expression efficiency. Effect of each isoform on rate can be correlated with level of infection (i.e. multiplicity of infection [m.o.i.] employed) and corresponding mean current density for this m.o.i. (as measured under goal or aim 1).

5

10 3. Beta subunit modulation of pacemaker channel function and rate. Experiments under goals or aims 1 and 2 can be repeated (using adenovirus infection) with a range of HCN m.o.i. with and without co-infection by the HCN beta subunit MiRP1 (KCNE2). This will determine if the same effect can be achieved with an overall lower viral load by using KCNE2 to increase efficiency of HCN expression at the cell membrane. In addition, it will be determined if KCNE2 infection alone sufficiently upregulates endogenous HCN protein to significantly increase pacemaker current and spontaneous rate. If so, autonomic modulation of rate will again be determined.

15

20 4. Optimization of pacemaker genes. Mutated HCN genes can be tested in the neonatal ventricular cultures to identify those with improved characteristics in terms of kinetics, voltage dependence and autonomic sensitivity, and the effect of these mutated genes on rate will then be determined. Mutations that shift threshold voltage positive and speed kinetics will likely be most suitable.

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30 5. Functional impact of gene expression in adult myocytes. Those gene products from goals or aims 1-4 that appear most promising in terms of biophysical characteristics and

effect on spontaneous rate can be infected in adult ventricular and/or Purkinje cells in culture and the biophysical characteristics (which are likely to differ with the cell type in which the gene is expressed) and ability to generate pacemaker activity determined.

5 6. Regulation of expression. Those gene products (from goals or aims 1-5) that appear most promising will be tested by Phases 2 and 3 in stem cells and by Phase 5 in vivo. At the same time, they will also be transferred to new plasmids under the control of a regulatable (e.g. ecdysone-inducible) promoter. The regulatable constructs will be retested in vitro to assess the relation between expression level and induction of automaticity. Time course of regulation (i.e. time to up and down regulate channel expression) also will be determined (to be done in collaboration with Phase 2).

10 15 20 7. Current characterization after in vivo expression. In collaboration with Phase 5, after adenovirus infection of gene products in dog heart and ECG characterization, animals will be sacrificed, cells isolated from infected region, and pacemaker current characteristics determined.

25 8. Stem cell myocytes interaction. In collaboration with Phase 2 examine the required density of transfected stem cells to induce a higher pacing rate in neonatal myocyte cultures.

Phase 2: Creating A Biological Pacemaker Using Adult Mesenchymal Stem Cells

30 The goal is to optimize a pacemaker gene (or genes) and a delivery system (stem cells) to create a permanent regulatable pacemaker in a chosen cardiac region:

The specific goals or aims of Phase 2 are:

1. To study the membrane properties of adult mesenchymal stem cells.
- 5 2. To optimize a pacemaker gene or genes for transfection.
- 10 3. To transfect the stem cells with the chosen pacemaker gene under a constitutively active promoter using a bicistronic expression vector, which permits a gene of interest and EGFP to be translated from a single RNA (nearly 100% of cells that exhibit fluorescence also express the gene of interest). The vectors to be used are pCMS-EGFP vector for the expression of HCN genes, pHygEGFP as a cotransfection marker vector, pEGFP-C1 vector for the expression of HCN genes as fusion proteins with EGFP and pEGFP-1 vector for monitoring of transcription of EGFP from a muscle-specific promoter.
- 15 4. To use antibiotic selection markers to select stably transfected clones.
- 20 5. To study the membrane properties, and the expressed pacemaker current of the transfected stem cells.
- 25 6. To study the needle survival of transfected stem cells in vitro, and to compare these results to studies of survival post-injection in dogs performed in Phase 5.
7. Provide transfected stem cells to Phase 3 for coupling studies.
8. To study the membrane properties of adult heart cells (atrial, Purkinje and ventricular) in the absence and presence of coupling to stem cells, to determine whether, coupling induces pacing.

9. In collaboration with Phase 1, to examine the required density of transfected stem cells to induce a higher pacing rate in neonatal myocyte cultures.
10. In collaboration with Phase 5, after a stem cell pacemaker is implanted in a dog heart, the animals may be sacrificed at various times post implantation. One can dissociate the cells in Phase 2 and study their membrane properties, and also use biochemical markers to investigate their level of differentiation into cardiac cell types.
11. To transfect stem cells using a regulatable promoter (e.g. the ecdyson system)
12. Select for stable transfections by antibiotic resistance.
13. Test the dose-response relationship between the inducer and the level of pacemaker current expressed. Also, determine the lag between exposure to the inducer and pacemaker gene expression, and determine the lag between termination of inducer exposure and the decline in pacemaker gene expression.
14. Provide the construct for use in Phases 1 and 5 to perform similar studies as in goals or aims 9 and 10.

Phase 3: Integration of Myocytes and Stem Cells

To create a biological pacemaker from stem cells or repair damaged myocardium with a stem cell derived cardiogenic cell line, the new cells are to be integrated into the cardiac syncytium. This process uses the formation of gap junctions and the ability to pass from cell to cell 1) ions to initiate and propagate action potentials and 2) relevant second messengers to sustain normal physiologic function. The cardiac gap junctions are composed of some combination of three subunit proteins: connexin43 (Cx43), and/or Cx40, and/or Cx45. The major goals of

this phase are to determine the types of connexins expressed and functioning in stem cells transfected with pacemaker genes for a biological pacemaker, and stem-cell derived cardiogenic cell lines which will be used for cardiac repair. One may also 5 determine the ability of these cell types to form gap junctions with normal adult cardiac myocytes from nodal, atrial, Purkinje, and ventricular myocardium. If necessary or desirable, one can investigate transfection of either preparation with relevant connexin genes. Because both ionic permeability (assayed by 10 measuring gap junctional conductance) and permeability to physiologic second messengers (assayed by larger molecular weight fluorescent dye permeation) are important, both measurements will be made in our experimental protocols.

15 The specific goals or aims of Phase 3 are:

1. Determine the extent of stem cell coupling to cells (HeLa) transfected with cardiac connexins (40, 43, and 45). (see figure 4A-B)
2. Determine the ability of stem cells to couple to adult 20 cardiac myocytes from nodal regions, atrium, Purkinje fibers and ventricular myocardium.
3. Use immuno-localization to determine the distribution and location of Cx43, Cx40, and Cx45 in confluent stem cell cultures and co-cultures with cardiomyocytes.
- 25 4. For transfected stem cells with pacemaker genes repeat goals or aims 1-3.
5. Following implantation of transfected stem cells into myocardium at fixed time periods after the initiation of a biological pacemaker the animals will be sacrificed. In 30 these animals, one can determine 1) which connexins are expressed in the mesenchymal stem cells and 2) the

functional coupling in isolated cell pairs of stem cell to stem cell, and stem cell to cardiac myocyte.

6. Determine the extent of coupling between the cardiogenic cell line(s) to cultured cells (HeLa) expressing with 5 cardiac connexins (40, 43, 45).

7. Determine the ability of the cardiogenic cell line(s) to couple to adult cardiac myocytes from the same regions as used in goal or aim 2.

8. Following implantation of cells from the cardiogenic cell 10 line(s) in dogs for cardiac repair, at fixed time periods the animals will be sacrificed. One can determine from these animals 1) the expression of connexins in the repair cell line and 2) the functional coupling of cardiogenic cell line pairs, or pairs between adult cardiac cells and 15 cells from the cardiogenic cell line.

9. Express connexins in stem cells, stem cells transfected with pacemaker genes, or stem cell derived cardiogenic cell line(s) as necessary or desirable. One can determine if improved functional coupling results.

20

Phase 4: Optimizing Stem Cells for Cardiac Repair

The goals of Phase 4 are (1) to grow stem cells for transformation into a cardiac cell line, (2) to select the cardiac cell lineage(s), (3) to further induce the cardiac-like 25 cells to differentiate into ventricular or nodal cell types, and (4) to transfect each of the individual cell types with appropriate genes to optimize function and survival in particular cardiac regions,

30 The specific goals or aims of Phase 4 are:

1. Transfect stem cells with a muscle or cardiac muscle specific promoter and green fluorescent protein to aid in selection of cells which grow along a cardiac lineage.
- 5 2. Select for stable transfections.
3. Test available approaches to induce cardiac differentiation (including embryoid bodies, 5-azacytidine exposure).
4. Select cells which have differentiated into a cardiogenic cell line.
- 10 5. Patch clamp the cells looking for a cardiac-like action potential and cardiac I-V relationship, and cardiac specific membrane currents.
6. Study the biochemical markers of cardiac differentiation.
- 15 7. Investigate whether trophic factors or co-culturing can induce further differentiation specifically to nodal type, atrial muscle or ventricular endocardium or epicardium. Again, test by patch clamping to look for signature membrane action potential or membrane currents.
8. Provide cells to Phase 3 for tests of coupling to adult myocytes from the desired cardiac region.
- 20 9. Test needle survival of each cell type.
10. Provide cells to Phase 5 for tests of efficacy in a dog cardiac model of heart failure. One can get cells back after experimental time period *in vivo* to study their properties.
- 25 11. Add new genes to optimize cell survival including an increased inward rectifier or gene to induce additional angiogenesis (like VEGF or other growth factors).

Phase 5: Expression of Pacemaker Function in the Intact Animal and in Isolated Tissues

The overall goals of Phase 5 are: (1) to determine the extent to 5 which specific pacemaker constructs expressed in vivo via the approaches of gene therapy and of stem cell implantation can affect cardiac rate and rhythm. (2) to determine the extent to which engineered cell lines can effect myocardial repair and AV nodal replacement.

10 When the donor cell is provided with delivery gene or compound, it will be necessary to deliver the engineered donor cells to the biological target. If direct injection of the target is possible, this method will be applied. Alternatively, if the 15 target is fed by a specific artery, then the engineered donor cells can be delivered to said artery and released into the blood for delivery to the biological target.

The general hypotheses are (1) that pacemaker channels expressed 20 or implanted in specific regions of the heart will develop regular, autonomic-responsive rhythms that counter the "clamping" effect of I_{K1} in specialized conducting fibers and atrium and possibly ventricle, and (2) that engineered cell lines can replace non-functional myocardium or the AV node.

25 There are three goals or aims:

1. To investigate the function of specific HCN α and β subunit constructs as functioning pacemakers in the heart *in situ* and in 30 isolated tissues, including testing of the following sub-hypotheses:

a: Injection of adenoviral constructs carrying HCN₂ or HCN₄ into canine ventricular myocardium in vivo can elicit pacemaker current having characteristics of I_f. Although there is proof in concept that this will work, this intervention may not drive the 5 ventricle because of the large I_{K1} and the highly negative membrane potentials at which expressed HCN₂ is likely to activate.

b: Injection of adenoviral constructs carrying HCN₂ or HCN₄ into canine bundle branches or atrium in vivo will elicit 10 autonomic-responsive pacemaker current having characteristics of I_f, and - in light of the lesser I_{K1} present and more positive activation of expressed HCN - capable of driving the heart.

c: Injection of an adenoviral construct carrying MiRPI into the above tissues in the absence of additional HCN isoforms can 15 significantly upregulate endogenous I_f and possibly speed activation kinetics as well, thus offering an alternative means for altering pacemaker function.

d: Injection of an adenoviral construct of mutant genes (developed in Phases 1 and 2) will provide alternative and 20 perhaps superior functional pacemakers.

2. To investigate the function of specific HCN α and β subunit constructs inserted into human mesenchymal cell lines to provide functional pacemakers to the heart in situ and in isolated 25 tissues. The subhypotheses are modified from those above, as follows:

a: Injection of stem cells carrying HCN₂ or HCN₄ into canine ventricular myocardium in vivo can elicit pacemaker current having characteristics of I_f and capable of driving the 30 heart. Stem cell implantation may make it possible to implant a node of sufficient dimension to overcome the effects of I_{K1}.

b: Injection of stem cells carrying HCN_2 or HCN_4 into canine bundle branches or atrium in vivo will elicit autonomic-responsive pacemaker current having characteristics of I_f , and - in light of the lesser I_{K1} present and more positive activation of expressed HCN - capable of driving the heart.

c: Injection of stem cells carrying MiRP1 in the absence of additional HCN isoforms can significantly upregulate endogenous myocardial I_f and possibly speed activation kinetics as well, thus offering an alternative means for altering pacemaker function.

d: Injection of stem cells carrying constructs of mutant genes (developed in Phases 1 and 2) will provide alternative and perhaps superior functional pacemakers.

15 3. To investigate the utility of implantation of engineered cardiac cell lines in effecting myocardial repair. This includes replacement of myocardium and of AV node. The subhypotheses are:

20 a: In canine hearts in which myocardial infarction has induced ventricular aneurysm alone and in hearts with congestive failure, cell lines engineered by Phase 4 will grow in the myocardium providing a substrate that is functional as studied hemodynamically and via imaging, while not generating arrhythmias. The result will be improved cardiac function and output.

25 b: In canine hearts in which AV block has been induced via formalin injection or RF ablation, cell lines engineered by Phase 4 will provide bypass tracts that have the same function as the AV node in the heart *in situ* and in isolated tissues.

Stem Cell Isolation and Cell Culture

One can isolate and grow mesenchymal stem cells (human or canine) from human/canine bone marrow aspirates.

For example, 10ml of marrow aspirate was collected into a 5 syringe containing 6000 units of heparin to prevent clotting, washed twice in phosphate buffer solution (PBS), added to 20ml of control medium (DMEM containing 10% FBS), and then centrifuged to pellet the cells and remove the fat. The cell pellet was resuspended in control medium and fractionated at 10 1100g for 30 min on a density gradient generated by centrifugation of a 70% percoll solution at 13000g for 20 minutes. The mesenchymal stem cell-enriched, low density fraction was collected, rinsed with control medium and plated at 15 a density of 10^7 nucleated cells per 60mm² dish. The mesenchymal stem cells were then cultured in control medium at 37°C in a humidified atmosphere containing 5%CO₂.

1) Mesenchymal stem cell isolation and characterization.

One can isolate hMSC and cMSC from donors. The advantages to 20 using mesenchymal stem cells are that they do not require an endoderm for differentiation, are easy to culture, do not require an expensive cytokine supplement and have minimal immunogenecity. One can also test cell purity by flow cytometry and the ability of hMSC/cMSC to differentiate into osteogenic, chondrogenic, adipogenic and cardiogenic lineages.

25 For example, hMSC were transplanted into fetal sheep early in gestation, before and after the expected development of immunologic competence. The hMSC engrafted and persisted in multiple tissues for as long as 13 months after transplantation.

Transplanted human cells underwent site-specific differentiation into chondrocytes, adipocytes, myocytes and cardiomyocytes, bone marrow stromal cells and thymic stroma. Unexpectedly, there was long-term engraftment even when cells were transplanted after the expected development of immunocompetence. A possible reason may be because hMSC express class I human leukocyte antigen but do not express class II, which may limit immune recognition.

10 In cardiac muscle, (human) β -2 microglobulin staining or in situ hybridization for human ALU sequences were combined with double staining with antibody against smooth endoplasmic reticulum ATPase-2 (SERCA-2), a cytoplasmic protein specific for smooth or skeletal muscle.

15 Cardiomyocytes have also been generated from murine marrow stromal cells. Murine bone marrow stromal cells were treated with 3 μ M 5-azacytidine. After 1 week, some cells gradually increased in size to form a ball-like or stick-like appearance.

20 After 2 weeks, the cells began spontaneously beating and the ball-like or stick-like cells connected with adjoining cells to form myotube-like structures. After 3 weeks, most of the synchronously beating cells connected and formed myotube-like structures and a cardiomyogenic cell line was formed.

25 Additionally, hMSC can be induced to differentiate in vitro exclusively to an osteogenic lineage with dexamethasone, β -glycerol phosphate, ascorbate and 10% FBS. A chondrogenic lineage can be induced exclusively without serum, but with 30 transforming growth factor β 3 (in a pelleted micromass) and hMSC. Finally, an adipogenic lineage can be induced exclusively

with 1-methyl 1-3- isobutylxanthine,
dexamethasone, insulin, iodomethacin and hMSC.

**In vivo demonstration of cardiac muscle formation from
5 circulating bone-marrow cells**

Normal and dystrophic (mdx) female mice received bone marrow transplantation (BMT) from normal male donor. After 70 days, histological sections of atrial and ventricular regions from BMT

10 mice were probed for donor-derived Y chromosomes. In BMT-mdx mice single cardiomyocytes were found to contain bone-marrow derived Y chromosomes.

15 2) Tissue/Cell Culture.

One can prepare media for hMSC/cMSC and other cell types to be utilized in the project along with cell storage, growth and maintenance of cells in culture.

20 3) Morphometrics

One can utilize light, fluorescent, and confocal microscopy to monitor cell types and cardiogenic cell lines. The core may also support all histochemical and immuno-localization studies.

25 4) RNA extraction and RT-PCR analysis.

One can monitor the expression levels of all genes transfected into stem cells or cardiogenic cell lines.

Heart failure transplantation therapy from hMSC

30 Transplantation therapy is also possible through the use of hMSC. After differentiation of the hMSC into cardiac myocytes,

pure cultures are selected by cell survival or cell sorting (e.g. muscle or cardiac specific promoter driving antibiotic resistance gene or GFP). Then, one tests in vitro for electrical coupling of the differentiated myocytes to adult myocytes and also for biochemical, immunohistological and electrophysiological properties. After completion of these tests, one utilizes a canine model to evaluate integration of the differentiated myocytes into host tissue and improved contractile performance. The canine model is also examined for 10. the absence of tumor formation or transmission of infectious agents. Then, methods of preventing rejection are tested. Note, that if the recipient and donor are the same, there is no danger of rejection. Finally, human trials are commenced.

15 **Intercellular delivery system for small molecules**

The delivery of specific solutes to the intracellular compartment of functional syncytia can be achieved by seeding target tissues (cells) with stem cells that have been preloaded with a specified solute. Alternatively, a gene producing a small 20 solute can be introduced into the stem cells as previously described. The transfer of solute from stem cells to target cells is via diffusion through gap junctions. The system is capable of delivering hydrophilic second messengers, drugs and their metabolites, and inorganic ions.

25

A) Loading of stems cells:

The loading of specific solutes into stem cells can be accomplished by electroporation or by perfusion of stem cells with media containing membrane permeable ester forms. Figure 1a 30 is a light micrograph of stem cells while figure 1b is of the

same cells with fluorescence microscopy showing the presence of Lucifer Yellow (LY). The dye was loaded via electroporation.

B) Transfer of loaded solute from stem cells to target cells: A 5 demonstration using cells in culture. Figure 2 shows transfer of dye from a stem cell to a HeLa cell. The LY has been delivered to the HeLa cell, presumably by diffusion through gap junctions.

Relevant parameters for the transfer:

10 1) Stem cells form gap junction channels with other cells b containing one or more of the following connexins: Cx43, Cx45, Cx40, Cx32 and Cx26.

15 2) Negatively charged solutes with minor diameters of ~1.0 nm are all able to transit the aforementioned gap junction channels (homotypic Cx43, Cx40, Cx45, heterotypic Cx43-Cx40 and mixed or heteromeric Cx43-Cx40) (63, 64) and Cx32 and Cx26 (65).

20 The selectivity ratio for Lucifer Yellow relative to K⁺ is 0.025 for Cx43 and 0.0028 for Cx40. The type of gap junctions and total number of channels determine the rate of transit of a specific solute between stem cell and target cell.

25 Although one preferred embodiment of the invention is described, the invention is not so limited, as variations and modifications will occur to those skilled in the art.

The scope of the invention is determined by way of the appended claims.

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